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MEMORANDUM

To: NY OFFICE SERVICES

From: Corliss D. Ortiz

Date: April 6, 2006

Subject: Faxing Attached Document

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Dear NY Office Services:

**PLEASE HAVE THE ATTACHED 7 PAGES FAXED.**

Please note that the fax number (571-273-8300) is in bold on the cover page of the Certificate of Facsimile.

Thanks for your help.

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APR 06 2006

Applicant(s): Geroge H. Butcher : Group Art Unit: 3628  
Serial No.: 09/920,880 : Examiner: Harish T. Dass  
Filed: August 2, 2001 : Attorney Docket No.: 06878.11501  
For: METHOD FOR STRUCTURING A STATE REVOLVING FUND BOND  
PROGRAM

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CERTIFICATE OF FACSIMILE

Attention: Examiner Harish T. Dass

The attached Supplemental Amendment is in accordance with your April 4, 2006 telephone conference with Barry Schindler which is being faxed to the number 571-273-8300. The fax consists of a Supplemental Amendment and a Fee Transmittal Form.

Please do not hesitate to contact me with any additional questions. I look forward to an early disposition of this matter.

Number of pages including Facsimile cover page 7.Respectfully submitted,  
GREENBERG TRAURIG LLP

Dated: April 6, 2006

  
Matthew B. Tropper

## CORRESPONDENCE:

**GREENBERG TRAURIG**  
Met Life Building  
200 Park Avenue  
New York, NY 10166  
Tele: (212) 801-3123  
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PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4318).**FEE TRANSMITTAL**  
**For FY 2005**☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

**Complete if Known**

Application Number	09/920,880
Filing Date	August 2, 2001
First Named Inventor	George H. Butcher
Examiner Name	Harish T. Dass
Art Unit	3628
Attorney Docket No.	06878.111501

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 50-1561 Deposit Account Name: Greenberg Traurig

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

**Total Claims** Extra Claims Fee (\$) Fee Paid (\$) **Multiple Dependent Claims** Fee (\$) Fee Paid (\$)

- 20 or HP =        x        =       

HP = highest number of total claims paid for, if greater than 20

**Indep. Claims** Extra Claims Fee (\$) Fee Paid (\$)

- 3 or HP =        x        =       

HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =		/ 50 =	(round up to a whole number) x	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: \_\_\_\_\_

**SUBMITTED BY**

Signature	<u>Matthew B. Tropper</u>	Registration No. (Attorney/Agent)	37,457	Telephone	212-801-3123
Name (Print/Type)	Matthew B. Tropper, Esq.	Date	April 5, 2006		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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<b>Applicant(s):</b> George H. Butcher	<b>:</b> Group Art Unit: 3628
<b>Serial No.:</b> 09/920,880	<b>:</b> Examiner: Harish T. Dass
<b>Filed:</b> August 2, 2001	<b>:</b> Attorney Docket No.: 06878.111501
<b>For:</b> METHOD FOR STRUCTURING A STATE REVOLVING FUND BOND PROGRAM	<b>:</b>

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**SUPPLEMENTAL AMENDMENT****BEST AVAILABLE COPY**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS:**

This Supplemental Amendment is filed in accordance with the April 4, 2006 telephone conference between the Examiner and Barry Schindler.